



# Single Top and Measurements of $|V_{tb}|$ at Tevatron

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on behalf of CDF and D0 collaborations

CKM 2014 - Wien

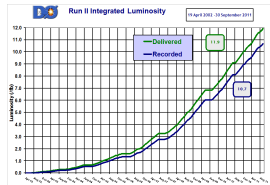
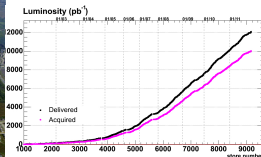
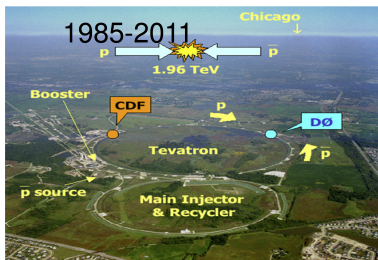
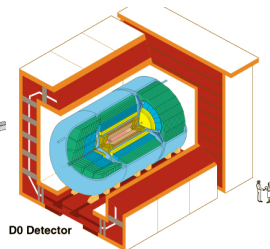
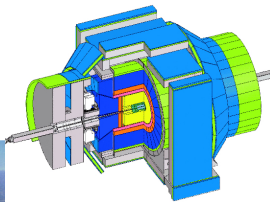


September 9, 2014



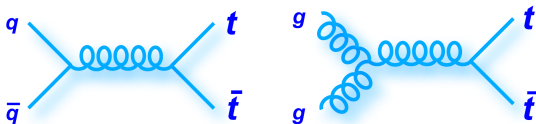
# Introduction - Tevatron @ Fermilab

- $p - \bar{p}$  Collider with  $\sqrt{s} = 1.96$  TeV
- Run II ended in Sep. 2011  
 $\mathcal{L}^{int} \approx 10 \text{ fb}^{-1}$  available for CDF and D0 experiments

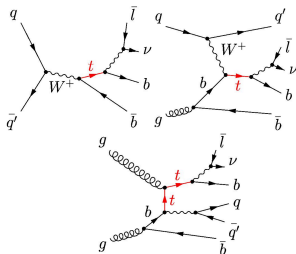


# Introduction - Top Quark Production at Tevatron

- Top Quark ( $t$ ) discovered at Tevatron in 1995  
*PRL 74 2626, PRL 74 2632 (1995)*
- QCD SM  $\sigma_{p\bar{p} \rightarrow t\bar{t}}^{SM} = 7.35^{+0.28}_{-0.33}$  @ NNLO + NNLL for  $m_t = 172.5$  GeV  
*PRL 110, 252004 (2013)*



Quark-Antiquark annihilation is dominant at Tevatron: 85% of total cross section



- EWK Single Top Production: first observed in 2009 (*PRL 103 092001, PRL 103 092002 (2009)*)
- $\sigma_{s\text{-chan}} = 1.06 \pm 0.06$  pb
- $\sigma_{t\text{-chan}} = 2.1 \pm 0.1$  pb
- $\sigma_{Wt\text{-chan}} = 0.22 \pm 0.08$  pb, too small to be isolated at Tevatron

PRD 83, 091503 (2011); PRD 81, 054028 (2010);  
PRD 82, 054018 (2010); arxiv:1210.7813 (2012)

# Why study Single Top production?

- Allows direct measurement of the CKM matrix element  $|V_{tb}|$
- $V_{tb}^{meas} = \sqrt{\sigma_{meas}/\sigma_{theory}}$   
in SM  $\sigma_{theory} \rightarrow |V_{tb}| = 1$
- Is it a 3x3 matrix? Why not a 4x3, 3x4, 4x4 or even larger?
- Is it unitary?  $\rightarrow$   
 $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 1$  ?
- First two rows in agreement with CKM unitarity from B meson decays precision measurements

Direct Measurements: see other talks

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

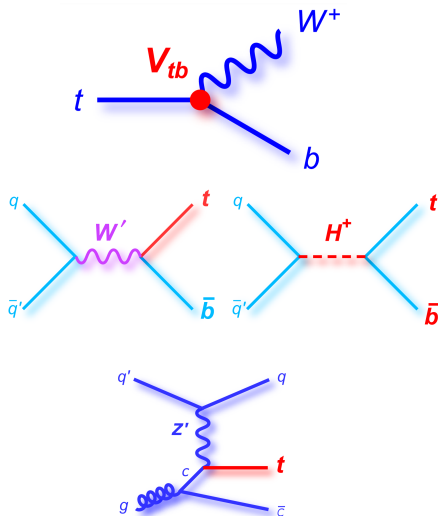
Ratio Constrained from  
Bs oscillations

Measured under  
certain assumptions



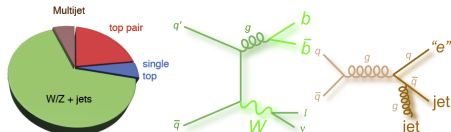
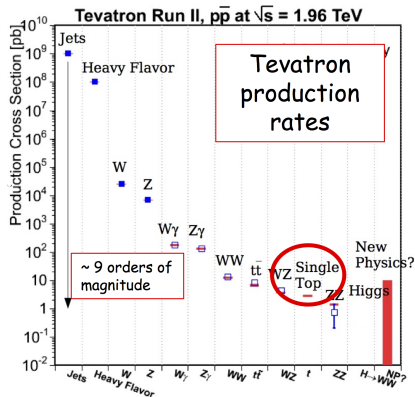
# Why study Single Top production? Not only for CKM!

- Test of Standard Model prediction
  - probe V-A coupling structure
  - Top quark spin access
- Target New Physics
  - Anomalous couplings
  - Presence of heavy charged bosons  $W', H^+$  (s-channel)
  - Flavour Changing Neutral Currents (FCNC) through  $Z'$  (t-channel)



# Single Top Tevatron Analysis - Outline

- Very rare process at Tevatron:  
 $S/B \sim 1/10^9$
- Trigger: High- $p_T$  lep /  $\cancel{E}_T$   
 $\rightarrow S/B \sim 1/10^6$
- Topological event selection and b-jet identification:  
 $\rightarrow S/B \sim 1/20$
- Very accurate background estimate is needed
- Major Backgrounds:
  - **W+Jets**: Shape from MC, Normalisation from Data
  - **Dibosons, Z+jets,  $t\bar{t}$** : From Simulation
  - **Multijet**: Data driven shape and normalisation



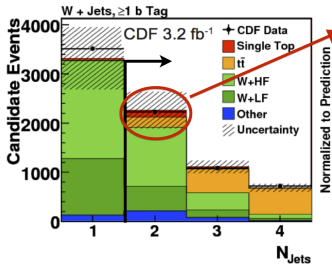
# Single Top Tevatron Analysis - Outline

## W+Jets Selection (D0 and CDF):

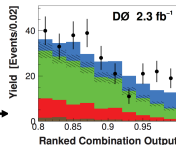
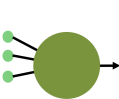
- One high- $p_T$  isolated lepton ( $e/\mu$ )
- Large  $\cancel{E}_T$  from the  $\nu$
- 2 or 3 high- $p_T$  jets, with at least one identified as  $b$ -jet

## $\cancel{E}_T$ +Jets Selection (CDF):

- High- $\cancel{E}_T$  and lepton veto
- 2 or 3 high- $p_T$  jets, with at least one identified as  $b$ -jet
- Orthogonal to  $W$ +jets selection (+33% acceptance)

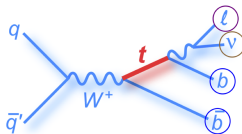


After topological selection the single top signal is hidden behind backgrounds with large uncertainties (up to 30% for W+HF normalisation) A counting experiment is not possible



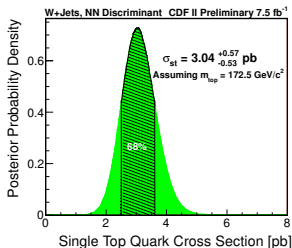
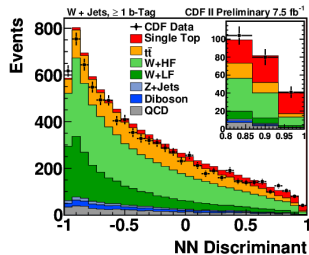
- Signal hidden behind large backgrounds with large uncertainties
- No single variable provides enough discriminating power to separate S from B
- Multivariate techniques are used, trained separately for s- and t-channel
- Cross section extracted from a binned likelihood fit on the combined discriminant

# Single top s+t channel $l\nu b\bar{b}$ Final State



- Total Luminosity:  $7.5 \text{ fb}^{-1}$
- 4 sub-channel according to  $N_{\text{tags}}/N_{\text{jets}}$
- Samples with shifted systematics included in the artificial NN training  $\rightarrow$  3% resolution improvement
- Control region for background validation: 0 b-jet sample
- Binned Likelihood fit on the NN output. Bayesian posterior assuming non negative prior

$$\sigma_{st} = 3.04^{+0.57}_{-0.53} \text{ pb}$$



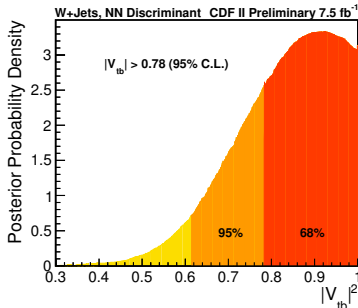
CDF pub. Note 10793  
Submitted to PRL

# Extraction of $V_{tb}$ $l\nu b\bar{b}$ Final State

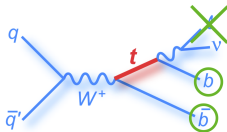
- Since  $\sigma_{st} \propto |V_{tb}|^2 \rightarrow$  Flat prior within  $0 \leq |V_{tb}|^2 \leq 1$ , Bayesian posterior
- **SM Assumptions:**
  - CKM elements hierarchy:  $|V_{td}|^2 + |V_{ts}|^2 \ll |V_{tb}|^2$
  - CP conserving and V-A nature of  $Wtb$  vertex
- No assumption on number of generations or unitarity
- Complementary with indirect  $|V_{tb}|$  measurement (see later in the Talk!)

## Results:

- $|V_{tb}| = 0.95 \pm 0.09$  (stat+syst)  $\pm 0.05$  (theo)
- $|V_{tb}| > 0.78$  (95%) C.L.

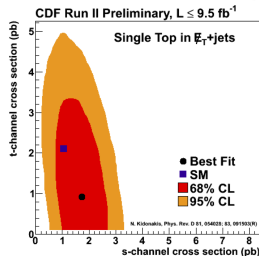
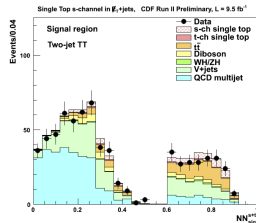


# Single Top $\cancel{E}_T b\bar{b}$ s+t analysis



- Total Luminosity ( $9.5 \text{ fb}^{-1}$ )
- Recovers events where the lepton are lost or  $W \rightarrow \tau \nu_\tau$
- Use of new multivariate b-jet identification algorithm: HOBIT
- 1D MVA discriminant obtained from the combination of MVAs for s- and t-channel separately
- Serie of NNs used against QCD, V+jets and  $t\bar{t}$  bkg
- The total cross section is extracted assuming SM  $\sigma_s/\sigma_t$

CDF pub. Note 11033



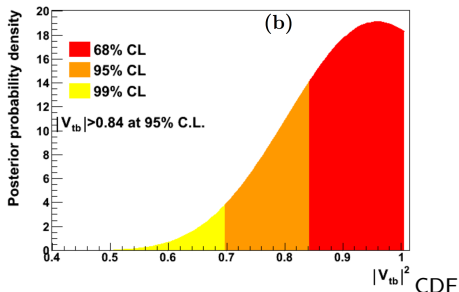
$$\sigma_{s+t} = 3.53^{+1.25}_{-1.16} \text{ pb}$$

$$|V_{tb}| > 0.63 \text{ at } 95\% \text{ C.L.}$$

# Single top s+t CDF combination

- The  $l\nu b\bar{b}$  and  $\cancel{e}_\tau b\bar{b}$  channels are statistically independent
- The two analyses shown have been combined for more accurate cross section measurement and stronger lower limit on  $|V_{tb}|$
- The results are combined multiplying the Likelihood, simultaneously varying the correlated uncertainties.
- The combined measurement results in an  $\sigma_{s+t} = 3.02^{+0.49}_{-0.48}$  pb.

$|V_{tb}| > 0.84$  at 95% C.L

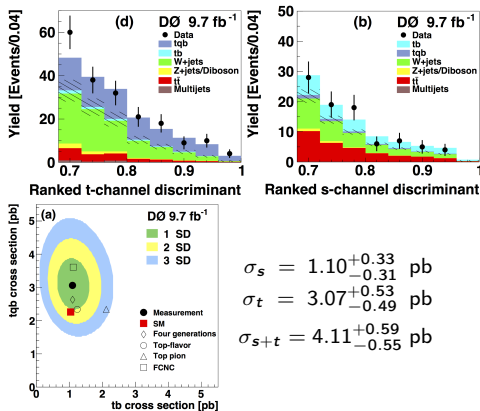


pub. Note 11033

# Single top s+t channel $l\nu b\bar{b}$ Final State



- Total Luminosity:  $9.7 \text{ fb}^{-1}$
- Possibility of an anomalous strength of the left-handed  $Wtb$  coupling ( $f_1^L$ )
- Three MVA with BDT, ME and BNN combined in a single discriminant through a BNN.
- Separate discriminants for s- and t-channel,  $D_{s+t}^{comb}$  and  $D_t^{comb}$  respectively. A 2D discriminant is then formed.
- Integration over  $\sigma_t$  ( $\sigma_s$ ) and extract  $\sigma_s$  ( $\sigma_t$ )
- 2D posterior  $D_{s+t}^{comb}$  is then formed versus  $\sigma_t$ . Measurement of  $\sigma_{s+t}$  obtained integrating on  $\sigma_t$ . No assumption on  $\sigma_s/\sigma_t$  is made



$$\sigma_s = 1.10^{+0.33}_{-0.31} \text{ pb}$$

$$\sigma_t = 3.07^{+0.53}_{-0.49} \text{ pb}$$

$$\sigma_{s+t} = 4.11^{+0.59}_{-0.55} \text{ pb}$$

$$|V_{tb}f_1^L| = 1.12^{+0.09}_{-0.08} \text{ (stat+syst)}$$

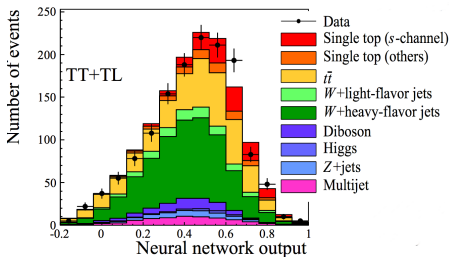
$$\text{SM: } f_1^L = 1 \rightarrow |V_{tb}| > 0.92 \text{ 95\% C.L.}$$

Phys. Lett. B 726, 656 (2013)



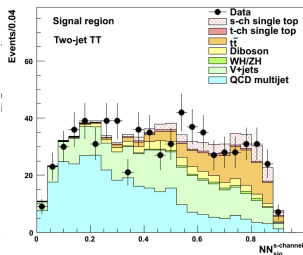
# CDF s-channel optimised analyses

- Two new analyses for s-channel in  $l+\text{jets}$  and  $\cancel{e}^+e^-+\text{jets}$  final state with  $9.4 \text{ fb}^{-1}$
- Based on  $H \rightarrow b\bar{b}$  searches techniques. Multivariate b-tagger HOBIT with tight ( $T$ ) and loose ( $L$ ) working points
- NN optimised for s-channel event topology. t-channel is part of the bkg, with normalisation from theory



PRL 112, 231804 (2014)

$$\sigma_s = 1.41^{+0.41}_{-0.42} \text{ (stat+syst) pb}$$



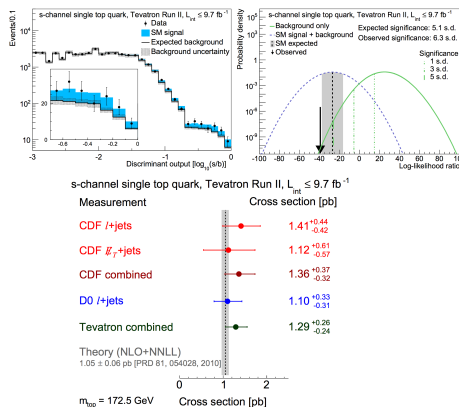
PRL 112, 231805 (2014)

$$\sigma_s = 1.12^{+0.61}_{-0.57} \text{ (stat+syst) pb}$$

# Tevatron s-channel combination

- The results of the CDF and D0 analyses on the s-channel have been combined
- First observation of s-channel single top (significance 6.3 standard deviations)
- Systematics common to both experiments are taken as 100% correlated, the others are treated as uncorrelated

Systematic uncertainty	CDF		D0		Correlated
	Norm	Dist	Norm	Dist	
Lumi from detector	4.5%		4.5%		No
Lumi from cross section	4.0%		4.0%		Yes
Signal modeling	2–10%	•	3–8%		Yes
Background (simulation)	2–12%	•	2–11%	•	Yes
Background (data)	15–40%	•	19–50%	•	No
Detector modeling	2–10%	•	1–5%	•	No
<i>b</i> -jet-tagging	10–30%	•	5–40%	•	No
JES	0–20%	•	0–40%	•	No



$$|V_{tb}| = 1.05 \pm 0.11$$

# Indirect Measurements of $V_{tb}$

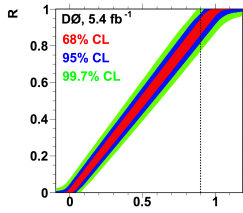
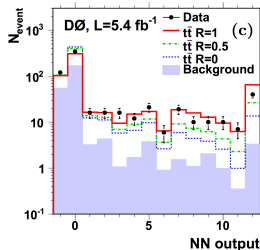
- The  $t$  quark decay rate through the production of a  $W$  boson and a down type quark  $q = d, s, b$  is proportional to  $|V_{tq}|$
- In the SM  $t \rightarrow Wb \approx 100\%$  of the times
- Using top pairs event produced at Tevatron, it is possible to measure the actual ratio and an indirect measurement on  $V_{tb}$  can be extracted

$$R = \frac{\mathcal{B}(t \rightarrow Wb)}{\mathcal{B}(t \rightarrow Wq)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$$

- Under the assumption of a 3x3 unitary CKM  $\rightarrow R = |V_{tb}^2|$

# Indirect Measurements of $V_{tb}$ - Lepton+Jets/Dilepton

- Total luminosity:  $5.4 \text{ fb}^{-1}$
- Combination of High- $p_T$  lep ( $e/\mu$ ) and jets and dileptonic final state
- Use of a NN b-tagger to identify jets originating from b-quarks.
- Additional MVA in  $l$ +Jets sample to discriminate S from B
- New MC are generated with final state  $t\bar{t} \rightarrow WbWq$  and  $t\bar{t} \rightarrow WqWq$ .
- Binned likelihood fit on the subsamples and the b-tagger NN output. Simultaneous fit on the  $\sigma_{p\bar{p} \rightarrow t\bar{t}}$
- FC prescription for limit extraction

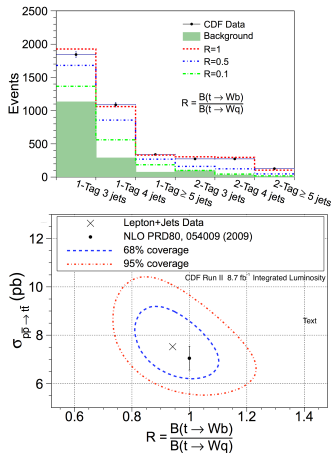


$$|V_{tb}| = 0.95 \pm 0.02$$

$$|V_{tb}| > 0.96 \text{ at } 95\% \text{ C.L.}$$

# Indirect measurements of $V_{tb}$ - Lepton + Jets

- High- $p_T$  lepton ( $e/\mu$ ) and large  $\cancel{E}_T$  sample
- Final state subdivided in channels according to number of jets/ $b$ -jets
- Counting experiment and simultaneous Likelihood fit on the observed events
- Simultaneous measurement of  $\sigma_{p\bar{p} \rightarrow t\bar{t}}$ . For  $|V_{tb}|$  limits: prior flat in  $R$  within  $[0,1]$
- Signal MC for  $t\bar{t}$  is generated using  $|V_{tb}| = 1$ 
  - Each jet originated from  $t$  and truth matched to  $b$  get assigned a probability  $P_b < R$
  - Simulate a configuration where  $t$  decays in light flavour jet with probability  $1 - R$  times

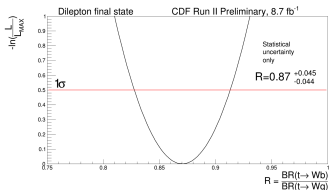
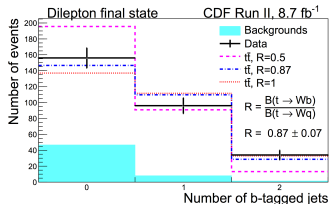


$V_{tb} = 0.97 \pm 0.05$  (stat+syst)  
 $V_{tb} > 0.89$  at 95% C.L.

PRD 87 111101(2013)

# Indirect measurements of $V_{tb}$ - Dilepton

- Dileptonic final state with large  $\cancel{E}_T$
- High purity  $t\bar{t}$  signal in selected sample  $\rightarrow$  measurement  
 $\sigma_{p\bar{p} \rightarrow t\bar{t}} = 7.64 \pm 0.55$  (stat) pb,  
 free of  $\mathcal{B}(t \rightarrow Wb)$  assumptions
- Measured  $\sigma_{p\bar{p} \rightarrow t\bar{t}}$  is used to constraint the signal in the sub-channels  
 $(ee), (e\mu), (\mu\mu)/N_{b-jets}$



$$V_{tb} = 0.93 \pm 0.04 \text{ (stat+syst)}$$

$$V_{tb} > 0.85 \text{ at 95\% C.L.}$$

PRL 112 221801 (2014)

# Summary and Conclusions

## Summary

- $t$  quark first observed by CDF and D0 in 1995. Single top in 2009
- During years, more refined measurements of top properties
- Direct and indirect measurements of  $V_{tb}$  have been presented
- CDF single top program is almost complete
- Combination of the CDF  $R$  measurement is under way.

Experiment	Type	$ V_{tb} $	$ V_{tb}  > \dots @ 95\% \text{ C.L.}$
CDF	Single top (s+t) $l$ +jets	$0.95 \pm 0.10$	0.78
CDF	Single top $\cancel{t} + \text{jets}$	-	0.63
CDF	Single top (s+t) combo	-	0.84
D0	Single top (s+t)	$1.12^{+0.09}_{-0.08}$	0.92
CDF+D0	Single top (s)	$1.05 \pm 0.11$	-
CDF	$t\bar{t} \ l$ +jets	$0.97 \pm 0.05$	0.89
CDF	$t\bar{t} \ //$	$0.93 \pm 0.04$	0.85
D0	$t\bar{t} \ l$ +jets/ $//$	$0.95 \pm 0.02$	0.96

# BACKUP



# New Physics extensions to CKM matrix

- Theoretically a 3x3 unitary CKM matrix leaves no room for low values of  $V_{tb}$ .

$$|V_{tb}| = 0.999138^{+0.000052}_{-0.000030}$$

- Lower values of  $|V_{tb}|$  can be obtained with different BSM mechanisms
  - Presence of a single extra vector-like quark ( $t'$ ,  $b'$ ), respectively up/down type (4x3, 3x4 CKM matrix)
  - Presence of a full  $SU(2) \times U(1)$  forth generation

$$V'_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} & V_{ub'} \\ V_{cd} & V_{cs} & V_{cb} & V_{cb'} \\ V_{td} & V_{ts} & V_{tb} & V_{tb'} \\ V_{t'd} & V_{t's} & V_{t'b} & V_{t'b'} \end{pmatrix}$$

Eur. Phys. J. C49 791-801 (2007)

# Last Tevatron limits on CKM extensions

## • Single Vector Like $t'$ searches

- $l + \text{jets}$  at  $5.4 \text{ fb}^{-1}$
- Coupling  $k_{qQ} = \frac{v}{m_Q} \tilde{k}_{qQ}$ .  
Focusing on  $t' \rightarrow Wq$
- Discriminating variable:  $M_T^Q$

## • Chiral $b'$ 4th Gen. searches

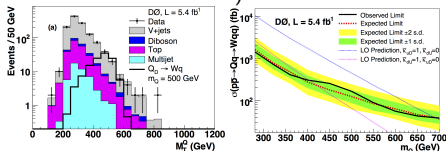
- Analysis in  $l + \geq 5 \text{ jets}$  ( $\geq 1b$ ) at  $4.8 \text{ fb}^{-1}$
- Analysis for  $b' \rightarrow Wt$
- Discriminating variable:  

$$S_T^{N_{jet}} = \sum_{jets} p_T^i + p_T^{lep} + \cancel{E}_T + X(N_{jet})$$

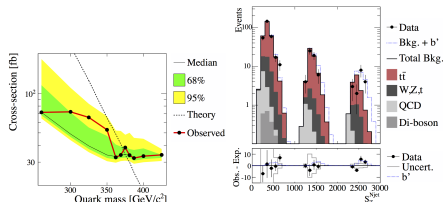
## • Chiral $t'$ 4th Gen. searches

- $m(b') + m(W) > m(t')$
- Analysis for both  $t' \rightarrow Wq$  and  $t' \rightarrow Wb$  at  $5.6 \text{ fb}^{-1}$
- 2D binned likelihood fit on  $H_T$  and  $M_{reco}$

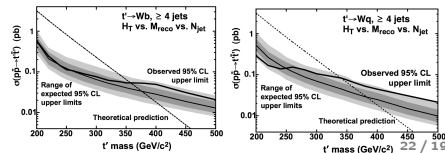
PRL 106 081801 (2011)



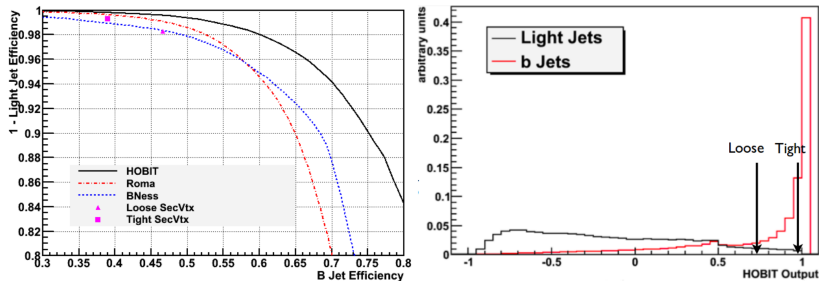
PRL 106 141803 (2011)



PRL 107 261801 (2011)



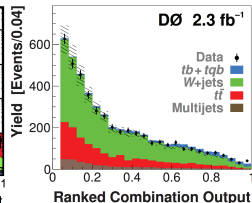
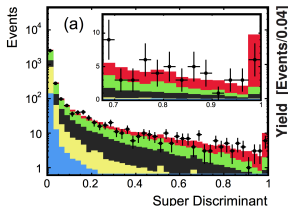
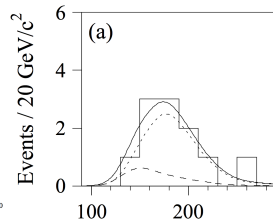
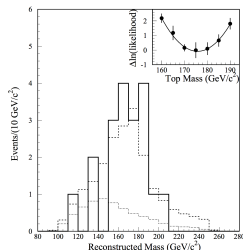
# HOBIT - Slide Courtesy of M.Cremonesi (Moriond QCD - March 2014)



- A new b-jet identification algorithm optimized for  $H \rightarrow b\bar{b}$  searches is employed: HOBIT
- Incorporates all the features of the previous CDF b-taggers
- Two different HOBIT cuts are used: tight b-tag (T) and loose b-tag (L)

# Introduction - Top Quark Discovery

- Top-Quark ( $t$ ) has been discovered through strong interaction at Tevatron in 1995. (PRL 74 2626 (1995) , PRL 74 2632 (1995))
  - CDF: Likelihood Fit on the  $M_t$
  - D0: Background only fit on the  $H_T$  and fit on  $M_t$
- In 2009 single-top production via electroweak interaction has been discovered by CDF and D0 experiments
- Cross section measurement obtained from a binned likelihood fit on a Neural Network (NN) discriminant



# Introduction - Top Quark

- Top Quark Discovery (D0):

$$H_T = \sum E_T^{jets} \text{ In the single lepton or } \mu\mu \text{ channel}$$

$$H_T = E_T^{leading-e} + \sum E_T^{jets} \text{ in the } e\mu \text{ and } ee \text{ channels}$$